OCC NO.	1	FILE NO.	SAI

## **Occurrence Report**

For Occurrence Notification please complete the applicable white areas on this page.

Then post or fax to CAA as soon as possible. If faxing this form send to +64 4 560 9469

To report an accident or serious incident phone: 0508 ACCIDENT (0508 222 433) Monitored 24 hours a day, seven days a week.

To report other safety or security concerns phone: 0508 4SAFETY (0508 472 338) Available office hours (voice mail after hours).

Remember - you can also get this form from the CAA Website and email to CA005@caa.govt.nz

Date of occurrence	Time		□ NZST □ N	IZDT 🔲 UTC	Location		
Aircraft manufacturer	and model			Air	rcraft registration	<b>Z</b> K -	
Operator					Client ID		
РОВ	Number of injurie	s - Fatal Crew	Se Pax	erious Crew	Min Pax	nor	Pax
rational Details							
Flight No./Call sign	Altitude		☐ AGL	ASL	FTL Run	way used	
Departure point	Destin	nation point		Nearest repo	rting point (NRP	")	
Distance and bearing fr	om NRP	NM		°	☐ IFR	☐ VMC	
Scheduled	OR non-scheduled		Domestic	OR Internat	ional	E	TOPS
Nature of flight	passenger A to A agricultural training dual private other other (specify)		passenger A to other aerial wo training solo parachuting			/executive erry/positioning	
Flight phase	parked climb circuit descent		taxiing hover aerobatics approach		takeoff cruise holding		
Effect on flight  If weather is a significant factor include in description of occurrence.	Nil failure to get airborne go-around/missed appr turnback avoiding action runway excursion	oach	flight delayed/ci emergency/preci abnormal appro engine(s) shutdo overweight land other (specify)	cautionary descent oach own	diversion diversion significan	ncy/precautionar	
ription of Occur	rence						
Dil						ue on a separate sh	eet if necessary.
Pilot in command's nan				Licence num			
Pilot flight hours in last		Flight hours			Total flight hou	rs	
Last checked IF	R BFR COCA (	Competency Assess	sment) by -	name			
Date checked		Check pile	st's ID				

Please	complete	the white	areas or	this	þage	to p	rovide	further	occurence	details,	only
									+64 4 560		

\*If an accident, please supply a sketch of the site

of Occurrence								
	collision/strike o	hiect	Compone	ent/system fa	ailure malfunction	loss of co	ontrol	
Accident/incident	engine power los	•		o aircraft	andre mandiction	airframe		
	fire/explosion/fu			s occurrenc	e		w illness/incapac	itation
	injuries to person				equip/procs	evacuation	·	
		related occurrence		ning/alert sy		invalid w	arning/alert syste	em
	emergency decla	ration	other (s				,	
Airspace incident	Airspace ID - eg AA	VTMA/C						
	near collision		loss of se	paration		unauthor	rised altitude pen	etratio
	unauthorised air	space incursion	breach o	f other clear	ance	pilot fligh	nt planning defici	ency
	clearance/instruc	ction deficiency	flight inf	ormation de	ficiency	other (sp	pecify)	
	TCAS Alert	RA TA	intruder relat	ve altitude ir	n feet	relative pos	sition	0
Facility malfunction	Facility ID	Name			Facility ty	pe		
	failure/non availa	ability	coverage	/intensity de	eficiency	alignmen	t/course deficien	тсу
	excessive bends/	roughness	false ove	rhead/distar	nce indication	identifica	tion deficiency	
	readability defici	ency	interfere	nce		other (sp	pecify)	
Aerodrome occ.	physical surface of	deficiency	surface i	narking defic	ciency	wildlife i	ncursion	
	physical obstruc	tion	equipme	nt/installatio	n deficiency	apron ma	anagement defici	ency
	public protection	n deficiency	other (s	pecify)				
Dangerous goods	spillage/leakage	fumes/gas/s	smoke/fire	] mis/nonde	claration (	other (specify)		
Bird hazard	strike ne	ar strike Spec		□ 100+	Number hit [	small	medium	la
Aircraft defect/ Engineering details	Major component/s	system affected						
angineering details	, ,	,						
ATA code		efective						
				Model				
ATA code				Model Serial num	nber			
ATA code Manufacturer	Part d	efective	Hours		nber Cycles TS	SI	Hours	
ATA code  Manufacturer  Part number  TTIS Hours	Part d	efective	Hours I maintenance		Cycles TS	SI anufacturer ad		ſes □
ATA code  Manufacturer  Part number  TTIS Hours  Detection phase	Part d	efective  TSO  scheduled	d maintenance		Cycles TS			ſes □
ATA code  Manufacturer  Part number  TTIS Hours  Detection phase	Part d  Cycles unscheduled OR  AD SB	efective	d maintenance	Serial num	Cycles TS			ſes 🗀
ATA code  Manufacturer  Part number  TTIS Hours  Detection phase L  Compliance with L  Maintenance organisation	Part d  Cycles  unscheduled OR  AD SB  on	TSO scheduled	d maintenance	Serial num	Cycles T:  M	anufacturer ad	vised 🔲 Y	ſes □
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ATA code  Manufacturer  Part number  TTIS Hours  Detection phase L  Compliance with L  Maintenance organisation  Aircraft damage level  Aircraft disposal	Part d  Cycles  Inscheduled OR  AD SB  on  destroyed  write-off	TSO  scheduled  Specify referer  substantia	d maintenance	Serial num	Cycles T:  M	anufacturer ad	vised 🔲 Y	∕es [
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Submitter's reference number

We require more forms, please forward us

forms

estigation R	eport		FINDING NO.	F [	1 1
	Complete wi	hite areas only where applic	able		
This section of the form is intended t It may be submitted separately to th	to be completed by the report e Occurrence Report. For furti	er or reporter's organisation of her assistance with this section	at the conclusion of their on refer to Rule Part 12 i	<sup>,</sup> internal investigo Advisory Circular.	ation.
Date of occurrence	Time	□ NZST □ I	NZDT 🔲 UTC Lo	cation	
Aircraft manufacturer and mode	I		Aircra	ft registration 7	ZK-
Finding attributed to: name			Clier	nt ID	
Aviation document	Rule ref		Manual refer	ence	
Non-compliance N	on-conformance O	bservation Safety r	elated concern	Critical	Major
ription					
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Cause I  Person/organisation		Category			e on a separate sh
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Person/organisation Cause 2				Item	e on a separate si
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\$NZ

Position

Reporter's ref number

Client ID

Estimated **OR** Actual cost of occurrence and corrective action

Tel:

Reporter's Details

Name

Organisation

Date

## Notification of Serious Harm or Accident under the Health and Safety in Employment Act

Required by section 25(1), (1A), (1B), and (3) (b) of the Health and Safety in Employment Act 1992.

I	Personal data of injured p	erson:	8	Body part	:			
Name			head		neck		trunk	
Б. 11			ш ирре	r limb	lower	limb	multipl	le locations
Residenti Address	al		syste	mic internal or	rgans			
			•					
			<b>9</b> (specify al	Nature of	injury or	disease:	fatal	
				ure of spine		puncti	ure wound	
Date of B	Birth	Sex (M/F)	othe	r fracture		poisor	ning or toxic (	effects
			dislo	cation		multip	ole injuries	
2	Occupation or job title of	injured person	straii	n or sprain		damag	ge to artificial	aid
_	(Employees and self-employed per	•	head	injury		diseas	e, nervous sys	stem
		,,	inter	nal injury of tr	unk	diseas	e, musculoske	eletal system
			ampı ampı	itation, inc. eye	е	diseas	e, skin	
			open open	wound		diseas	e, digestive sy	ystem
3	The injured person is:		supe	rficial injury		diseas	e, infectious o	or parasitic
an en	mployee a contracto	r (self-employed person)	brusi	ng or crushing		diseas	e, respiratory	system
self	other		forei	gn body		diseas	e, circulatory	system
			burn	s		tumou	ur (malignant (	or benign)
4	Period of employment of	injured person:	nerve	es or spinal co	rd	menta	l disorder	
<u> </u>	(Employees only)							
lst w	<u> </u>	_	10	If notificat	tion is fror	n an emp	loyer:	
	onths-I year I-5 years	over 5 years		(a) has ar	n investigatio	n been carri	ied out?	yes/no
non-e	employee			(b) was a	significant h	azard involv	ed?	yes/no
				( )				•
_				( )				,
5	Treatment of injury:	Time aid only		· /		ition Only		,
None	e	☐ First aid only	Saviana		Informa	ation Only		, and the second
None		☐ First aid only ☐ Hospitalisation	Serious	harm includ	Informa	ation Only		·
None	e		I. Any	harm inclue	Informa  des death  ing conditio	or		
None	e	Hospitalisation	I. Any perm bodil	harm included for the following the following the following function: re	Information des death ing condition bodily functory despiratory de	or  Ins that ametion, or te lisease, nois	mporary sev se-induced h	vere loss of nearing loss,
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None   Doct   Doct   6	Cause (mechanism) of act	Hospitalisation	I. Any perm bodil neur comi expo	harm included from the following the followi	Information des death ing condition bodily functions of section of the condition of the con	or  Ins that ametion, or te lisease, nois dermatologuloskeletal decompre	mporary sev se-induced h gical disease, disease, illne ssion sicknes	vere loss of nearing loss, , ess caused by ss, poisoning,
<ul> <li>None</li> <li>Doct</li> <li>6</li> <li> fall, s</li> <li> sound</li> </ul>	Cause (mechanism) of accellip or trip hitting d or pressure being	Hospitalisation  cident/serious harm: g objects with part of the body	I. Any perm bodil neur commexpo	harm included the following an ent loss of y function: responsible discassion and the following the	Information des death ing condition bodily functions because, cancer, ease, muscued material, chemical o	or  Ins that ametion, or te isease, noise dermatologuloskeletal decomprer hot-metal	mporary sev se-induced h gical disease, disease, illne ssion sicknes l burn of eye	vere loss of nearing loss, , ess caused by ss, poisoning,
None   Doct	Cause (mechanism) of accelling or trip hitting dor pressure being stressing heat,	Hospitalisation  cident/serious harm: g objects with part of the body hit by moving objects	I. Any perm bodil neur comi expo vision wour	harm included for the following an ent loss of y function: recological diseas a municable distribution impairment, and of eye, bor	Information des death ing condition foodily function despiratory dise, cancer, ease, muscued material, chemical one fracture,	or  Ins that ametion, or te isease, noise dermatologuloskeletal decomprer hot-metal	mporary sev se-induced h gical disease, disease, illne ssion sicknes l burn of eye	vere loss of nearing loss, , ess caused by ss, poisoning,
Mone Doct  fall, s sound body biolo	Cause (mechanism) of accelling or trip hitting dor pressure being stressing heat,	Hospitalisation  cident/serious harm: g objects with part of the body hit by moving objects radiation or energy	Any perm bodil neur-commexpo vision wour  2. Amp	harm included the following th	Information des death ing condition bodily functions of section and the section of the section o	or  Ins that american, or te lisease, nois dermatologuloskeletal decompre r hot-metal laceration,	mporary sev se-induced h gical disease, disease, illne sssion sicknes I burn of eye , crushing.	vere loss of nearing loss, , , , ss caused by , ss, poisoning, , penetrating
Mone Doct  fall, s sound body biolo	Cause (mechanism) of according to the pressure being stressing heat, agical factors constitution constitutions being chemical being chemical factors chemical constitutions constitutions and constitutions are constitutions and constitutions are constituted as a constitution of the constitutions are constituted as a constitution of the constituti	Hospitalisation  cident/serious harm: g objects with part of the body hit by moving objects radiation or energy	Any perm bodil neurocomic expovision would 2. Amp  3. Burn	harm included of the following an ent loss of y function: recological diseas a municable diseasure to infect in impairment, and of eye, borutation of bos requiring resurred.	Information des death ing condition foodily functions see, cancer, ease, muscued material, chemical one fracture, dy part.	or  Ins that american, or te lisease, nois dermatologuloskeletal decompre r hot-metal laceration,	mporary sev se-induced h gical disease, disease, illne sssion sicknes I burn of eye , crushing.	vere loss of nearing loss, , , , ss caused by , ss, poisoning, , penetrating
Mone Doct  fall, s sound body biolo	Cause (mechanism) of according to the pressure being stressing heat, agical factors constitution constitutions being chemical being chemical factors chemical constitutions constitutions and constitutions are constitutions and constitutions are constituted as a constitution of the constitutions are constituted as a constitution of the constituti	Hospitalisation  ident/serious harm: g objects with part of the body hit by moving objects radiation or energy cals or other substances	Any perm bodil neur-comi expo visior woul      Amp      Burn speci	harm included of the following anent loss of y function: recological diseasure to infect in impairment, and of eye, borutation of board of sequiring realist outpatie	Information des death ing condition foodily functory descriptions, muscular individual one fracture, dy part.	or  Ins that ametion, or te isease, nois dermatologiloskeletal decompre r hot-metal laceration, specialist m	mporary sev se-induced h gical disease, disease, illne ssion sicknes l burn of eye , crushing.	vere loss of nearing loss, , , , ss caused by , ss, poisoning, , penetrating
None Doct  fall, s sound body biolo ment	Cause (mechanism) of accelling or trip hitting dor pressure being stressing heat, agical factors chemical stress other	Hospitalisation  ident/serious harm: g objects with part of the body hit by moving objects radiation or energy cals or other substances	Any perm bodil neur-comi expo visior woul      Amp      Burn speci	harm included of the following an ent loss of y function: recological diseas a municable diseasure to infect in impairment, and of eye, borutation of bos requiring resurred.	Information des death ing condition foodily functory descriptions, muscular individual one fracture, dy part.	or  Ins that ametion, or te isease, nois dermatologiloskeletal decompre r hot-metal laceration, specialist m	mporary sev se-induced h gical disease, disease, illne ssion sicknes l burn of eye , crushing.	vere loss of nearing loss, , , , ss caused by , ss, poisoning, , penetrating
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6   fall, s   sound   body   biolo   ment	Cause (mechanism) of accident of accident or transport	Hospitalisation  cident/serious harm: g objects with part of the body hit by moving objects radiation or energy cals or other substances  nt/serious harm:	I. Any perm bodil neur-commexpo vision woul  Ample 3. Burn speci  Loss medi  6. Any	harm included of the following an ent loss of y function: recological diseas an unicable dissure to infect the impairment, and of eye, bor utation of bos requiring realist outpatie of consciousing of consciousing all practitions tharm that cauthant that cauthant in the	Information des death ing condition foodily functory dise, cancer, ease, musculed material, chemical one fracture, dy part.  Deferral to a first clinic.  The person is the person and the person accurates or accurate	or  Ins that ametion, or te isease, noise dermatology alloskeletal decompredictions are ack of oxygete illness recorption, inlease on harmes	mporary seven- se-induced high and disease, illne disease, illne sission sicknes high and the sission sicknes, crushing.  The disease of the sission of a sission of area and to be hose induced high and to be hose induced induc	vere loss of nearing loss, ses caused by ses, poisoning, e, penetrating citioner or atment by a ny substance.
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Rule	Entered	- Date		Initials		
TAIC advised [	Yes No	Date		Time		
TAIC investigating	g 🗌 Yes 🔲 No	TAIC refer	ence			
Name of analyst		Nar	ne of investigator		Close on entry	☐ Yes ☐ No
Notes						

## **Occurrence & Investigation Reports**



FILE NO. SAI

The Civil Aviation Authority maintains a computer database which records all aviation-related occurrences.

The objective of reporting occurrences is to provide information for the CAA to improve flight safety. This is achieved by analysis of safety-related trends so that preventative actions may be taken.

Your cooperation in notifying, reporting and investigating safety-related occurrences is requested so that together we can achieve a safer aviation environment.

FREEPOST NO. 146123







Safety Analysis Civil Aviation Authority PO Box 3555 Wellington 6140